

OTHER BUSINESS DISCLOSURE REPORTING PAGE (U-4)

GENERAL INSTRUCTIONS

This Other Business Disclosure Reporting Page (DRP U-4) is an ☒ INITIAL or ☐ AMENDED response used to report details in support of affirmative responses to section 13 on the Form U-4.


13 Are you currently engaged in any other business either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise? (Please exclude non investment-related activity which is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.)

Please provide the following information relevant to each other business disclosed.

LAST NAME LANCASTER	FIRST NAME GARY	MIDDLE NAME (Specify if none) LYNN
CRDW 2730640	SOCIAL SECURITY # REDACTED	FIRM CRD #

1. Name of Other Business: UNIVERSAL UNDERWRITERS GROUP	Investment-Related Business? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2. Address of Other Business: Street: 900 SW 14th ST SUITE 200 City: RENTON State/Country: WA Zip: 98055	
3. Nature of Other Business: PROPERTY - CASUALTY, LIFE & HEALTH SALES + SERVICE	
4. Your Position, Title or Relationship with Other Business: ACCOUNT EXECUTIVE	5. Start MM DD YYYY Date: 10/27/2003 End MM DD YYYY Date: / /
6. Your Obligation to Other Business: Approximate Number of Hours/Month: 140	How many during securities trading hours/month? 100 CURRENT
7. Briefly describe your duties relating to Other Business: SALES + SERVICE	

1. Name of Other Business: LANCORP FINANCIAL GROUP LLC	Investment-Related Business? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2. Address of Other Business: Street: 1372 LEIGH CT City: WEST Linn State/Country: OR Zip: 97068	
3. Nature of Other Business: SALES + SERVICE LIFE, HEALTH, ANNUITIES	
4. Your Position, Title or Relationship with Other Business: PRESIDENT	5. Start MM DD YYYY Date: 6/4/1996 End MM DD YYYY Date: / /
6. Your Obligation to Other Business: Approximate Number of Hours/Month: 2	How many during securities trading hours/month? 0 CURRENT
7. Briefly describe your duties relating to Other Business: SALES + SERVICE	

MONTH (MM) 2	DAY (DD) 11	YEAR (YYYY) 2004	SIGNATURE OF APPLICANT 
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Web CRD - Other Business [User Name: kyates, OrgID: 2936]

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Other Business

Individual CRD#: 2730640

Individual Name: LANCASTER, GARY L

I AM PRESIDENT OF LANCORP FINANCIAL GROUP LLC. LFG RECEIVES COMMISSIONS FROM EXISTING LIFE INSURANCE ANNUITIES AND 401K PLANS (ADDITIONS, ROLLOVERS, ETC). ADDRESS OF 1382 LEIGH CT., WEST LINN, OR 97068. LFG WAS PREVIOUSLY A SOLE PROPRIETOR DBA LANCASTER INSURANCE ESTABLISHED IN 1973. APPROXIMATELY 10 HOURS PER YEAR DEVOTED TO LFG, NONE OF WHICH IS CONDUCTED DURING SECURITIES TRADING HOURS. DUTIES INCLUDE REVIEWING ANNUAL STATEMENTS, MONITORING INSURANCE COMPANIES SUBMISSION OF STATEMENTS TO THE 401K ADMINISTRATORS ANNUALLY, COMPLETING DOCUMENTS FOR ADDITIONS, ROLLOVERS, ETC. I AM THE OWNER OF A WINE CLUB "LANCASTER LIBATIONS" WHICH IS A NON-PROFIT BUYING CLUB MAINTAINING AN OREGON LIQUOR LICENSE. I HAVE NO DUTIES AS MY WIFE JAYNE CONDUCTS ALL OPERATIONS. UNIVERSAL UNDERWRITERS/NOT INVESTMENT RELATED/PROPERTY CASUALTY/SALES & SERVICE.

- Jk electronic file